



GLOBAL CLIMATE CHANGE ALLIANCE: PACIFIC SMALL ISLAND STATES PROJECT

FUNDED BY THE EUROPEAN UNION

IMPLEMENTED BY THE SECRETARIAT OF THE PACIFIC COMMUNITY

Second Reporting Period 1 July 2012 – 31 December 2013

Progress Report for 1 July to 31 December 2012

Submitted 29 January 2013

List of Abbreviations

APAN	Asia Pacific Adaptation Network
AusAID	Australian Agency for International Development
CROP	Council for Regional Organisations in the Pacific
DPCC	Development Partners for Climate Change
EU	European Union
FSM	Federated States of Micronesia
GCCA	Global Climate Change Alliance
GCCA: PSIS	Global Climate Change Alliance: Pacific Small Island States project
GIZ	Deutsche Gesellschaft für Internationale Zusammenarbeit
JNAP	Joint National Action Plan for Disaster Risk Management and Climate Change Adaptation
KRA	Key Result Area
NGO	Non-governmental organisation
NIE	National Implementing Entity
PCCR	Pacific Climate Change Roundtable
PIFACC	Pacific Islands Framework for Action on Climate Change
PIFS	Pacific Islands Forum Secretariat
ROM	Results Oriented Monitoring
SPC	Secretariat of the Pacific Community
SPC-AGTD	Secretariat of the Pacific Community Applied Geosciences and Technology Division
SPREP	Secretariat of the Pacific Regional Environment Programme
TA	technical assistance
UNDP	United Nations Development Programme
USAID	United States Agency for International Development
WACC	Working Arm on Climate Change

Table of Contents

1.	INTRODUCTION.....	3
1.1	About the Project.....	3
1.2	About this Progress Report.....	3
2.	SUMMARY OF PROJECT ACHIEVEMENTS, CHALLENGES, PROJECT ADMINISTRATION AND HUMAN RESOURCES.....	5
2.1	Summary of Project Achievements.....	5
2.2	Challenges.....	6
2.3	Project Administration and Human Resources.....	7
3.	REVIEW OF PROGRESS AND PERFORMANCE.....	9
3.1	Description of Project Activities 1 July – 31 December 2012.....	9
3.2	Interim Financial Statement 1 June 2011 – 31 December 2012.....	25
4.	WORK PLAN AND PROJECTED BUDGET 1 JANUARY – 31 DECEMBER 2013.....	26
4.1	Work Plan 1 January – 31 December 2013.....	26
4.2	Projected Budget 1 January – 31 December 2013.....	29

1. INTRODUCTION

1.1 About the Project

The Global Climate Change Alliance: Pacific Small Island States (GCCA: PSIS) project is funded by the European Union (EU) and implemented by the Secretariat of the Pacific Community (SPC) in collaboration with the Secretariat of the Pacific Regional Environment Organisation (SPREP). The project budget is €11.4 million. The implementation period for the GCCA: PSIS project is from the date of signature of the agreement, 19 July 2011, to 19 November 2014.

The overall objective of the GCCA: PSIS project is to support the governments of nine Pacific smaller island states, namely Cook Islands, Federated States of Micronesia (FSM), Kiribati, Marshall Islands, Nauru, Niue, Palau, Tonga and Tuvalu, in their efforts to tackle the adverse effects of climate change. The purpose of the project is to promote long term strategies and approaches to adaptation planning and pave the way for more effective and coordinated aid delivery on climate change at the national and regional level.

The project approach is to assist the nine countries design and implement practical on-the-ground climate change adaptation projects in conjunction with mainstreaming climate change into line ministries and national development plans; thereby helping countries move from an *ad hoc* project-by-project approach towards a programmatic approach underpinning an entire sector. This has the added advantage of helping countries better position themselves to access and benefit from new sources and modalities of climate change funding, e.g. national and sector budget support.

1.2 About this Progress Report

Following the first Annual Report covering the period 1 July 2011 – 30 June 2012 and submitted on 18 July 2012, this report presents project progress over the six-month period from 1 July – 31 December 2012.

Section 2 of this report contains a summary of the main achievements, challenges, project administration and human resources over the period 1 July – 31 December 2012.

Section 3 of the report reviews the progress and performance of the project over the six month period and presents the interim financial report for 1 July 2011 – 31 December 2012.

Section 4 of the report contains the work plan for 1 January – 31 December 2013 and the projected budget for the same period.

From 15–18 October 2012 an EU Results Oriented Monitoring (ROM) mission was conducted. One of the key recommendations was to revise the project log frame. This work has been completed and the revised log frame was endorsed at the Second Regional Steering Committee Meeting, 3-5 December 2012. The revised log frame has been used as the framework for reporting progress over this past six months and will continue to be used for reporting in the future. (The results of the ROM review are further discussed in Section 2.3.

Following discussions with the Delegation of the European Union for the Pacific in November 2012, it was decided to move the project reporting and the financial reporting to a calendar year basis in the future as outlined in the following table.

Narrative report	Financial report	Funding request
1st Reporting Period 1 July 2011 – 30 June 2012		
<i>Annual report</i> 1 July 2011 – 30 June 2012 submitted 18 July 2012	<i>Interim Financial report 1</i> July 2011 – 30 June 2012 submitted 18 July 2012	No funding request submitted
2nd Reporting Period 1 July 2012 – 31 December 2013		
<i>Progress report</i> 1 July – 31 December 2012, submitted 29 January 2013	<i>Interim Financial report 1</i> July 2011 – 31 December 2012 submitted 29 January 2013	<i>Request for second tranche</i> submitted 29 January 2013
<i>Progress report</i> 1 January – 30 June 2013 to be submitted July 2013		
<i>Annual report</i> 1 January – 31 December 2013 to be submitted January 2014	<i>Interim Financial report 1</i> January – 31 December 2013 to be submitted January 2014	<i>Request for third tranche</i> to be submitted January 2014



Participants at Second Project Steering Committee Meeting,
3-5 December 2012

2. SUMMARY OF ACHIEVEMENTS, CHALLENGES, PROJECT ADMINISTRATION AND HUMAN RESOURCES

2.1 Summary of Achievements

Advancing the overall objective

- ✓ The project has made sound progress to advance the overall objective: to support the countries tackle the adverse effects of climate change. Several requests have been made and are being advanced for climate change mainstreaming and seven of the nine countries have made significant progress in identifying their climate change adaptation project with two countries already beginning detailed design in 2012.
- ✓ On-the-job and specific training activities have built the capacity of 180 persons in areas including project identification and design, proposal preparation using the logical framework approach, reporting using the Climate Change Portal and climate change communications. It is anticipated that as the project progresses, these skills will be further enhanced and result in a cadre of persons whose skills have been significantly enhanced.

Advancing the project purpose

- ✓ Coordination amongst donors and partners has been enhanced with regular coordination meetings among partners within SPC and within the region conducted and minuted.
- ✓ A climate change policy is under development in one country, Palau, and is being coordinated with a parallel Joint National Action Plan for Disaster Risk Management and Climate Change Adaptation (JNAP) activity; preliminary planning is underway for JNAP processes in FSM and Kiribati in 2013; and more than six joint missions have been conducted with other donors and partners.

Advancing climate change mainstreaming (KRA 1)

- ✓ Three sector based requests for technical assistance (TA) for climate change mainstreaming, and two national requests are being advanced.
- ✓ A climate change communications plan has been prepared for SPC and a climate change communications officer is being recruited, partially supported by GCCA: PSIS. Footage has been collected for four national climate change videos and one regional video. Fifty-four representatives from five project countries have been trained in climate change communications with emphasis on radio – a widespread and popular form of media in the Pacific. One country has requested support to develop a climate change communications strategy.

Advancing well articulated sectoral adaptation strategies that address budget support criteria number 1 (KRA 2)

- ✓ Agreements covering project implementation have been completed with seven countries and agreements for the remaining two countries are well advanced.

Recruitment of national coordinators is very well advanced in two countries and in progress in the remaining countries.

- ✓ A proposal to provide technical support to advance criterion 1 of budget support were endorsed by the Project Steering Committee at its second meeting in December 2012.
- ✓ Fifty persons have been trained in project proposal using the logical framework approach and six countries have requested national training workshops in this area.

National climate change adaptation projects implemented (KRA 3)

- ✓ Eight countries have selected a sector for focus for their climate change adaptation project – sectors selected include coast and marine resources, health, food security and water.
- ✓ Concept notes for adaptation projects in five countries have been approved and two countries have already started detailed project design (Cook Islands and Tonga)

Streamlined technical assistance that supports national adaptation responses delivered by regional organizations in a collaborative manner (KRA 4)

- ✓ A matrix of all SPC climate change activities has been published; the climate change portal has been updated with GCCA: PSIS project activities and 11 country participants have been trained in uploading information to the portal.
- ✓ Collaboration with other donors and partners in the delivery of climate change support is an ongoing activity and is being achieved through joint country missions (6 have been undertaken); quarterly meetings with PIFS, SPREP and UNDP in the area of climate change finance; quarterly meetings with other donor-supported climate change projects within SPC; and DPCC and WACC are regularly updated with GCCA: PSIS activities.
- ✓ In particular GCCA: PSIS and SPREP have collaborated in the delivery of two regional training activities and two joint country missions.

2.2 Challenges

- ✓ The key challenge is that human resources in climate change are stretched to the limit in all the project countries. Whilst the recruitment of national coordinators in each country will provide some additional human resources it will not significantly address the underlying problem. This challenge impacts all aspects of project delivery.
- ✓ The large number of donor-driven climate change projects compounds and exacerbates the human resource shortage. Every effort is being made by GCCA: PSIS, as part of SPC's overall "whole of organisation" approach, to collaborate with other partners and projects internally and externally. However this can be a very time

consuming process and can result in project delivery delays especially when schedules for different but related projects do not coincide.

- ✓ Only some of the project countries had well-articulated climate change frameworks or JNAPs in place which allow for the rapid identification of focus areas for the GCCA: PSIS project. In many cases a fairly lengthy selection process had to be undertaken.
- ✓ It is anticipated that delivery of the climate change adaptation projects in some of the countries may not be achieved within the project time frame due mainly to the shortage of human resources and the limited absorptive capacity. Nevertheless every effort will be made to ensure a timely delivery.
- ✓ At the beginning of the reporting period, July 2012, it was decided to try and fast track the selection of the climate change adaptation projects since these will consume almost half the project budget and it is therefore important to get them started at an early stage. Whilst this approach has been successful in advancing the adaptation projects, it has meant that some other areas such as mainstreaming and training have slipped back a little. Starting in January 2013 this will be addressed by having a renewed focus on mainstreaming and training needs.
- ✓ The project's core team has experienced constraints with the delivery of project activities to some countries. This is partly related to travel time and the fact that three (possibly four) countries have decided to focus project activities in outer islands, which adds to travel time and scheduling difficulties. Following the ROM review this is being addressed and additional staff are being recruited (see Section 2.3).

2.3 Project Administration and Human Resources

A ROM review was held from 15-8 October, 2012. The recommendations were to:

- Revise the log frame.
- Prepare a risk management strategy
- Prepare an exit strategy
- Recruit 2 Climate Change Advisers
- Recruit 1 Project Liaison Officer.

These recommendations were implemented and the revised log frame, risk management strategy and exit strategy, as well as the work plan for 1 July 2012 – 31 December 2013 have been agreed by the Project Steering Committee during the Second Regional Steering Committee Meeting, 3-5 December 2012 and in follow-up correspondence.

A Project Liaison Officer has been recruited and started work on 1 December 2012. Job descriptions for the two Climate Change Advisers have been prepared and recruitment will commence in January 2013.

The following table lists the project core team. National climate change coordinators are expected to be recruited and commence duties in 2013.

Position	Position Holder	Date of Commencement
Project Manager	Gillian Cambers	16.01.12

Climate Change Adviser 1: North Pacific	Pasha Carruthers	31.01.12
Climate Change Adviser 2: South Pacific	Graham Sem	15.02.12
Climate Change Adviser 3	Under recruitment	
Climate Change Adviser 4	Under recruitment	
Climate Change Coordination Officer (SPREP)	Tagaloa Cooper	15.08.12
Project Finance Officer	Sheik Irfaan	15.06.12
Project Liaison Officer	Sanivalati Tubuna	01.12.12

The project core team meet regularly, every 10-14 days, using Skype to coordinate project activities. Meetings are minuted. The project team met once face-to-face from 6-7 December 2012, following the Second Steering Committee Meeting.

The Second Project Steering Committee Meeting was held from 3-5 December 2012 in Suva, and was attended by all countries with the exception of Palau which had just been impacted by Typhoon Bopha. The record of the Steering Committee Meeting has been finalised.



Participants from the Pacific GCCA regional and national projects at the Global Climate Change Alliance Global Learning Event 12-14 September 2012.

3. REVIEW OF PROGRESS AND PERFORMANCE

The following description of progress and activities is presented using version 2 of the log frame, and activities are reported against the work plan for the period July 2012 to December 2013 (both of which were endorsed at the Second Steering Committee Meeting 3-5 December 2012).

3.1 Description of Activities

Performance and success indicators	Planned Activities for July 2012-December 2013	Progress and issues July-December 2012	Activities for January-December 2013
<p>Overall objective</p> <p>To support the Governments of Cook Islands, Kiribati, Marshall Islands, Federated States of Micronesia, Nauru, Niue, Palau, Tonga and Tuvalu, in their efforts to tackle the adverse effects of climate change</p>			
<ul style="list-style-type: none"> Ten new activities that address country requests for climate change adaptation undertaken in an effective and sustainable manner. Capacity of a minimum of 40 national sector specialists for integrating climate change 	<p>Detailed under KRAs</p>	<ul style="list-style-type: none"> 5 requests for climate change mainstreaming being advanced in Cook Islands, Kiribati, Nauru, Palau and Tonga. 7 countries have selected a sector for a climate change adaptation project; 5 countries have approved project concept notes (Cook Islands, Kiribati, Nauru, Palau and Tonga); 2 countries have commenced detailed design (Cook Islands, Tonga). 5 countries (approx. 20 persons) gained experience in conceptualising climate change project proposals through preparing project concept notes (Cook Islands, Kiribati, Nauru, 	<p>Detailed under KRAs</p>

Performance and success indicators	Planned Activities for July 2012-December 2013	Progress and issues July-December 2012	Activities for January-December 2013
<p>adaptation into at least three sectors built from minimal level to moderate level.</p>		<p>Palau, Tonga).</p> <ul style="list-style-type: none"> • 50 persons from climate change/environment/finance/economic planning agencies trained in project proposal preparation using the logical framework approach in October 2012. • 22 persons in Cook Islands and 23 persons in Tonga gained experience in using the logical framework approach during planning meetings to design their national adaptation project in November and December 2012. • 11 persons trained in updating the Climate Change Portal (from Cook Islands, Tonga, Kiribati and Tuvalu) in November 2012. • 54 persons trained in using radio media for climate change communications in Kiribati, Tuvalu, FSM, Palau & Marshall Islands) in July, August and October 2012. 	

Performance and success indicators	Planned Activities for July 2012-December 2013	Progress and issues July-December 2012	Activities for January-December 2013
<p>Purpose</p> <p>To promote a long term/strategic approach to adaptation planning and budgets and to pave the way towards more effective and coordinated aid delivery modalities at national and at regional level.</p>			
<ul style="list-style-type: none"> At least one new formal mechanism in SPC to coordinate four different donors/partners engaged in delivery of climate change resilience. National climate change policy that integrates disaster risk management and includes a budgeted action plan prepared in a minimum of two countries. 	<p>Detailed under the KRAs</p>	<ul style="list-style-type: none"> 2 bi-monthly meetings conducted to collaborate with SPC's climate change projects funded by AusAID, EU, GIZ and USAID 2 quarterly meetings held with PIFS, UNDP and SPREP to collaborate work in climate change financing. Preparation of a climate change policy framework advanced in Palau in collaboration with a parallel JNAP process; terms of reference finalised for a 4 part TA. Discussions and preliminary planning underway for a JNAP process to commence in 2013 in FSM and Kiribati. 6 joint missions conducted with other projects and donors (SPC-AGTD, SPREP, UNDP, PIFS) to advance the JNAP process and other climate change activities (FSM -1, Kiribati – 2, Nauru – 1, Palau -1, and Tuvalu -1). 	<p>Detailed under the KRAs</p>

Performance and success indicators	Planned Activities for July 2012-December 2013	Progress and issues July-December 2012	Activities for January-December 2013
<ul style="list-style-type: none"> New/revised sector plans incorporating climate change resilience in at least four countries by 12/ 2014. National climate change policy in at least one country by 12/ 2014. 	<p>Key Result Area 1</p> <p>Climate change mainstreamed into national and/or sector response strategies.</p>		
	<p>1.1 Prepare national climate change profiles that identify how climate change is addressed in each country and revise annually</p>		
	<ul style="list-style-type: none"> Version 2 of the Climate Change Profiles available on project website by April 2013 	<ul style="list-style-type: none"> Activity scheduled Q1 of 2013 	<ul style="list-style-type: none"> Profiles (v2) on website by April 2013
	<p>1.2 Prepare and/or advance detailed national and/or sector specific climate change response strategies and plans in at least four countries</p>		
	<ul style="list-style-type: none"> 1.2.1 Advance national climate change response strategy in one country (Palau) 1.2.2 Sector specific climate change response strategies advanced in two countries (CI, Tonga) 	<ul style="list-style-type: none"> Terms of reference finalised for a 4 part consultancy to develop a climate change policy framework for Palau (a) Community engagement; (b) Gaps and needs analysis; (c) Climate change policy preparation; and (d) List of prioritised and costed activities. 1 informal request received from Tonga to conduct a coastal zone management plan for Tongatapu. 1 terms of reference prepared for 	<ul style="list-style-type: none"> Send out expressions of interest; recruit consultants for the technical assistance (TA); complete parts (a) and (b) of the TA; recruit consultants and commence part (c) of the TA. On receipt of formal requests from Tonga, advance 2 separate terms of reference, recruit consultants and

Performance and success indicators	Planned Activities for July 2012-December 2013	Progress and issues July-December 2012	Activities for January-December 2013
	<ul style="list-style-type: none"> 1.2.3 Requests for technical assistance for mainstreaming received and acted on for up to six countries 	<p>coastal protection design in Tonga linked to the adaptation project (KRA3).</p> <ul style="list-style-type: none"> 1 terms of reference prepared for roof rainwater harvesting catchments TA in Nauru to guide the design of the adaptation project (KRA3) 1 official request from Cook Islands for TA to advance NIE accreditation received and approved. <p><i>Issues:</i> <i>The initial work focus has been to help countries identify their sector for the climate change adaptation project (KRA 3). Now that that is completed in most countries, mainstreaming needs will be highlighted in 2013.</i></p>	<p>prepare a coastal zone management plan and coastal protection design.</p> <ul style="list-style-type: none"> On receipt of official requests from Nauru advance and implement TA for water catchments. Assist other countries with advancing requests (up to 10 anticipated) for sector specific mainstreaming activities Prepare terms of reference; recruit consultants; conduct TA for Cook Islands request. Assist countries with formulation of requests for climate change mainstreaming and respond to requests.

Performance and success indicators	Planned Activities for July 2012-December 2013	Progress and issues July-December 2012	Activities for January-December 2013
	1.3 Develop and implement a climate change communications plan for SPC.		
	<ul style="list-style-type: none"> • 1.3.1 Develop a climate change communications plan for SPC. • 1.3.2 Develop a climate change communication plan for GCCA: PSIS project • 1.3.3 Recruit a Climate Change Communications Officer to implement the plan • 1.3.4 Prepare and distribute four national and one regional climate change adaptation videos. 	<ul style="list-style-type: none"> • 1 climate change communications plan for SPC finalised (October 2012). • 1 job description prepared and advertised. • 4 national videos under preparation; footage collected in Cook Islands, FSM, Kiribati and Tuvalu; 1 storyboard for Cook Islands video completed. • 54 persons trained in using media, especially radio, for climate change communications in national workshops in Kiribati and Tuvalu, and a sub-regional workshop in FSM (also for participants from Palau & Marshall Islands). 	<ul style="list-style-type: none"> • Obtain endorsement from SPC Executive and commence implementation of the plan. • Prepare communications plan for GCCA: PSIS project Q2 2013. • Recruit officer, anticipated start date March-April 2013. • Complete 4 videos and 1 regional video, distribute and review feedback.

Performance and success indicators	Planned Activities for July 2012-December 2013	Progress and issues July-December 2012	Activities for January-December 2013
	<ul style="list-style-type: none"> 1.3.5 Share activities among countries and distribute visibility products 	<ul style="list-style-type: none"> Visibility products (T-shirts, bags, memory sticks) prepared. 	<ul style="list-style-type: none"> Distribute visibility products. Update project brief.
	<p>1.4 Develop and implement national climate change communications plans for at least four countries.</p>		
	<p>1.4 Assess country needs for national climate change communication plans.</p>	<ul style="list-style-type: none"> Item discussed at Second Steering Committee Meeting in December 2012. 1 request for a national climate change communications plan received from Kiribati. 	<ul style="list-style-type: none"> Advance request from Kiribati and assist other countries with determining their needs for climate change communication plans.

Performance and success indicators	Planned Activities for July 2012-December 2013	Progress and issues July-December 2012	Activities for January-December 2013
<ul style="list-style-type: none"> Climate change coordinators in place and contracts signed in at least four countries by 12/2013. Sectoral policies/strategies that address budget support criterion 1¹ in at least 2 countries by 12/2014. Capacity to apply the Logical Framework Approach to project design built in at least six countries by 12/2014. 	<p>Key Results Area 2</p> <p>Well articulated sectoral adaptation strategies that address budget support criteria number 1 in place.</p>		
	<p>2.1 Enhance national climate change coordination in at least five countries</p>		
	<ul style="list-style-type: none"> 2.1.1 Establish agreements with 9 countries for project implementation. 2.1.2. Recruit National Climate Change Coordinators in a 	<ul style="list-style-type: none"> 7 countries have completed letters of agreement (Cook Islands, FSM, Kiribati, Nauru, Niue, Palau, Tonga). 2 countries have progressed letters of agreement and are only awaiting final signature. 2 national coordinators well progressed: 1 climate change 	<ul style="list-style-type: none"> All letters of agreement to be finalised by February 2013. Complete recruitment of climate change coordinators in

¹ Criterion 1 relates to the existence of national or sector policies or strategies that meet the criteria of relevance and credibility (relevance refers to poverty reduction, sustainable and inclusive growth and democratic governance as well as specific national challenges; and credibility refers to the track record in policy implementation, policy financing, institutional capacity and ownership, and the quality of data and analysis underlying the policy).

Performance and success indicators	Planned Activities for July 2012-December 2013	Progress and issues July-December 2012	Activities for January-December 2013
	minimum of 7 countries.	coordinator recruited in Cook Islands; 1 position advertised in FSM and recruitment underway.	remaining 7 countries; collaborate closely with the coordinators as the project is implemented.
	2.2 Review budget support readiness in at least seven countries and share findings regionally.		
	<ul style="list-style-type: none"> • 2.2.1 Review budget support readiness in a minimum of 7 countries (especially criterion 1) • 2.2.2 Hold a regional workshop to discuss budget support and prepare plan of action for national follow-up 	<ul style="list-style-type: none"> • Proposed technical assistance discussed and endorsed at Second Steering Committee Meeting. 	<ul style="list-style-type: none"> • Prepare terms of reference and recruit consultants for TA covering all 9 countries, complete TA. • Hold regional workshop – likely September 2013.
	2.3 Prepare national and/or sectoral policies and plans that incorporate climate change and better comply with budget support criterion 1 in at least two countries.		
	<ul style="list-style-type: none"> • 2.3 Prepare national and/or sectoral policies and plans that incorporate climate change and better comply with budget support criterion 1 in at least two countries. 	<ul style="list-style-type: none"> • Still in planning stage. 	<ul style="list-style-type: none"> • Planning for this activity to start late 2013 after the regional workshop outcome has been reviewed (see 2.2.2)
	2.4 Provide regional training in project proposal preparation and national level training in at least four countries.		

Performance and success indicators	Planned Activities for July 2012-December 2013	Progress and issues July-December 2012	Activities for January-December 2013
	<ul style="list-style-type: none"> 2.4 Conduct national training in project proposal preparation using the logical framework approach in 4 countries 	<ul style="list-style-type: none"> 50 participants from climate change/environment/finance/economic planning agencies trained in project proposal preparation using the logical framework at a regional workshop in October 2012, jointly supported by APAN, SPREP and GCCA: PSIS; manual on proposal preparation and a donor directory prepared. 6 countries have expressed interest in having national training workshops on project proposal preparation. 	<ul style="list-style-type: none"> Prepare terms of reference for a TA to conduct national training in project proposal preparation; recruit consultants and conduct a minimum of 4 training workshops in 2013.
<ul style="list-style-type: none"> Climate change adaptation activities implemented in three different sectors by 12/2014. Lessons learnt about (on-the-ground) climate change adaptation activities compiled, analysed and shared by 12/2014. 	<p>Key Result Area 3</p> <p>National climate change adaptation projects implemented.</p>		
	<p>3.1 Prepare selection criteria and assist with identification of needs and prioritisation of a specific sector for climate change adaptation activities in nine countries.</p>		
	<ul style="list-style-type: none"> 3.1 Select a specific sector for 	<ul style="list-style-type: none"> 8 countries have selected a 	<ul style="list-style-type: none"> Conduct missions to remaining

Performance and success indicators	Planned Activities for July 2012-December 2013	Progress and issues July-December 2012	Activities for January-December 2013
	<p>an adaptation project and prepare a concept in 9 countries.</p>	<p>sector for the adaptation project: 2 in the coastal and marine resources sector (Cook Islands, Tonga); 1 in the health sector (Kiribati); 3 in water (Nauru, Niue, Palau); 1 in water and food security (FSM); and 2 countries (Marshall Islands and Tuvalu have still to confirm).</p> <ul style="list-style-type: none"> • 5 countries have finalised adaptation project concept notes (Cook Islands, Kiribati, Nauru, Palau, Tonga). 	<p>2 countries to assist with sector selection.</p> <ul style="list-style-type: none"> • Finalise concept notes in remaining 4 countries.
	<p>3.2 Assist at least seven countries design adaptation projects in a participatory manner and using the logical framework approach.</p>		
	<ul style="list-style-type: none"> • 3.2 Prepare detailed project designs in a participatory manner in at least 7 countries 	<ul style="list-style-type: none"> • 2 countries (Cook Islands, Tonga) have commenced project design, held participatory workshops and prepared project log frames. 	<ul style="list-style-type: none"> • Conduct missions to remaining 7 countries to assist with project preparation, participatory workshops and log frames; target is 5 design documents completed by June 2013 and the remaining 4 by December 2013.
	<p>3.3 Oversee and guide at least seven countries in the implementation and evaluation of their adaptation projects.</p>		
	<ul style="list-style-type: none"> • 3.3. Start project implementation in at least 5 	<ul style="list-style-type: none"> • Not yet started. 	<ul style="list-style-type: none"> • Once design documents are approved, assist countries with

Performance and success indicators	Planned Activities for July 2012-December 2013	Progress and issues July-December 2012	Activities for January-December 2013
	countries	<p><i>Issues: It is anticipated that countries will face serious constraints to complete implementation by December 2014 – the scheduled project end date.</i></p>	project implementation.
	3.4 Compile and analyse lessons learnt from the national adaptation activities and disseminate widely at the national and regional level.		
	<ul style="list-style-type: none"> 3.4 Compile lessons learnt from adaptation projects 	<ul style="list-style-type: none"> Not yet started 	<ul style="list-style-type: none"> Compile lessons learnt from the selection of sectors, design of adaptation projects and share at 3rd Steering Committee meeting scheduled for September 2013.

Performance and success indicators	Planned Activities for July 2012-December 2013	Progress and issues July-December 2012	Activities for January-December 2013
<ul style="list-style-type: none"> Two new regional coordination tools available, by 12/2012. Minimum of ten national representatives representing a minimum of three countries regularly contributing to the Climate Change Portal by 12/2014 At least ten regional/sub-regional climate change resilience building activities implemented collaboratively by regional organisations by 12/2014. 	<p>Key Result Area 4</p> <p>Streamlined technical assistance that supports national adaptation responses delivered by regional organizations in a collaborative manner</p>		
	<p>4.1 Develop a matrix of regional climate change activities and assist with the uptake and development of the Pacific Climate Change Portal to assist countries to identify and formulate appropriate adaptation responses.</p>		
	<ul style="list-style-type: none"> 4.1.1 Develop a matrix of climate change activities by sector for the Pacific region. 	<ul style="list-style-type: none"> 1 matrix has been developed and is available of the website showing for each member country SPC's climate change activities across 	<ul style="list-style-type: none"> Update the national climate change matrix.

Performance and success indicators	Planned Activities for July 2012-December 2013	Progress and issues July-December 2012	Activities for January-December 2013
	<ul style="list-style-type: none"> • 4.1.2 Populate the Climate Change Portal with GCCA: PSIS and other information. • 4.1.3 Provide national training in updating the Climate Change Portal through 2 sub-regional training workshops 	<p>the entire organisation. (A larger matrix was prepared in 2011 including climate change activities from other organisations).</p> <ul style="list-style-type: none"> • Project information available on the Climate Change Portal under Projects. • 11 Pacific Islanders, including representatives from Cook Islands, Tonga, Kiribati and Tuvalu, were trained in updating the Climate Change Portal at a regional workshop held in Fiji 6-8 November 2012. 	<ul style="list-style-type: none"> • Update and expand the information on the Portal. • Hold a training workshop for representatives from Palau, FSM and Marshall Islands, scheduled for 19-21 February 2013 in FSM. • Assess how the trainees update the portal.
	<p>4.2 Strengthen regional coordination through undertaking country activities jointly with other organisations, utilising cross sectoral framework such as JNAP and contributing to regional planning frameworks such as the PCCR and CROP CEOs Climate Change Subcommittee (WACC).</p>		
	<ul style="list-style-type: none"> • 4.2.1 Participate in PCCR and contribute to follow-up in project countries. • 4.2.2 CROP CEO's Climate Change Sub-Committee - Working Arm on Climate 	<ul style="list-style-type: none"> • 1 preliminary planning meeting for PCCR conducted. • 1 WACC and 1 DPCC meeting informed about GCCA: PSIS activities via SPC representatives. 	<ul style="list-style-type: none"> • Assist with planning, preparations, implementation and follow-up for PCCR. Ensure GCCA: PSIS project is represented at these meetings. • Continue WACC and DPCC updating and regular collaboration meetings with

Performance and success indicators	Planned Activities for July 2012-December 2013	Progress and issues July-December 2012	Activities for January-December 2013
	<p>Change (WACC) and Development Partners for Climate Change (DPCC) informed about GCCA: PSIS activities.</p> <ul style="list-style-type: none"> • 4.2.3 Coordinate all donor funded climate change activities implemented through SPC 	<ul style="list-style-type: none"> • 2 quarterly meetings held with PIFS, UNDP and SPREP to collaborate work in climate change financing. • 6 joint missions conducted with other projects and donors (SPC-AGTD, SPREP, UNDP, PIFS) to advance the JNAP process and other climate change activities (FSM -1, Kiribati – 2, Nauru – 1, Palau -1, and Tuvalu -1). • 7 FSM state representatives supported to participate in an FSM JNAP Development workshop 25-26 July 2012. • Two project team members and the GCCA: PSIS focal point from FSM participated in the GCCA Global learning Even, 12-14 September; and made useful contacts with other GCCA projects. • 2 bi-monthly meetings conducted to collaborate with SPC’s climate change projects funded by AusAID, EU, GIZ and USAID. 	<p>partners working in climate change financing.</p> <ul style="list-style-type: none"> • In collaboration with SPC-AGTD and SPREP advance the JNAP process in FSM and Palau; and with other project countries as requested. • Bi-monthly meetings for collaboration.
	4.3 Enhance coordination especially between SPC and SPREP in the planning and delivery of climate change activities in countries.		
	4.3 1 At least 5 joint	<ul style="list-style-type: none"> • 1 APAN/SPREP/SPC regional 	<ul style="list-style-type: none"> • Climate Change Portal

Performance and success indicators	Planned Activities for July 2012-December 2013	Progress and issues July-December 2012	Activities for January-December 2013
	SPC/SPREP activities conducted	<p>workshop on climate financing/proposal preparation workshop 25-26 October 2012; and 1 Climate Change Portal Training in October 2012.</p> <ul style="list-style-type: none"> • 2 joint country missions SPC/SPREP conducted to Kiribati (August 2012) and Nauru (August 2012) 	<p>Training SPREP/SPC for the North Pacific 19-21 February 2013.</p> <ul style="list-style-type: none"> • Further joint country missions.

3.2 Interim Financial Statement 1 July 2011 – 31 December 2012

The signed interim financial statement is presented below; € 1,111,603 or 89% of the first payment has been committed and/or expended.

Global Climate Change Alliance- GCCA: PSIS			
Interim Financial Report as at 31st December 2012			
	Budget	Actuals	Balance
	Euro's	Euro's	Euro's
Technical Assistance			
<i>Long Term Technical Assistance</i>			
Project Manager/ Team Leader	120,000	75,130	44,870
Climate Change Advisor-Suva	110,000	56,661	53,339
Climate Change Advisor-Pohnpei	110,000	63,711	46,289
Project Finance Officer	25,000	16,753	8,247
Project liase on Assistant		2,003	- 2,003
Long Term Tech Assist to SPREP	120,000	137,328	- 17,328
Specialist Short Term Tech Assist to Beneficiary countries	400,000	82,397	317,603
Sub Total	885,000	433,983	451,017
Training , Technical, Attachemnets, National project engagement support, Travel, Project Visibility and office Equip and Consumables			
Support to SPREP for Travel and in country cost	50,000	7,219	42,781
Support for participating country Proj Engagements	130,000	156,011	- 26,011
Regional Training Workshops and Tech Attachments	120,000	107,070	12,930
Project equipment and consumables	40,000	25,028	14,972
Pilot Adaptation (Design)	90,000	200,000	- 110,000
Travel and in Country Cost	110,000	106,197	3,803
Visibility	28,750	9,957	18,793
Sub Total (Direst Cost)	568,750	611,483	- 42,733
Total Direct cost	1,453,750	1,045,467	408,283
In-direct cost (7%)	101,762	66,136	35,626
Total Cost estimate for Year_1	1,555,512	1,111,603	443,909
Funds Received (80% of the Budgeted amount)	1,244,410		
Percentage of expenditure		89%	

Prepared By: Sheikh Mohammed
Project Finance Officer





Authorised By : Unise Cawaru
Management Accountant



4. WORK PLAN AND PROJECTED BUDGET FOR 1 JANUARY - 31 DECEMBER 2013

4.1 Work Plan 1 January – 31 December 2013

Activity	Indicator	Q1, 01-03 2013	Q2 04-06 2013	Q3 07-09 2013	Q4 10-12 2013
KRA 1 Climate change mainstreamed into national and/or sector response strategies.					
1.1 Review and revise Climate Change Profiles for 9 countries.	Version 2 of the Climate Change Profiles available on project website by April 2013				
1.2.1 Advance national climate change response strategy in one country (Palau)	TOR for technical assistance; consultant(s) recruited; consultancy in progress (progress report) for one country				
1.2.2 Sector specific climate change response strategies advanced in two countries (CI, Tonga)	TOR for technical assistance; consultant(s) recruited; consultancy in progress (progress report) for 2 countries				
1.2.3 Requests for technical assistance for mainstreaming received and acted on for up to six countries	List of requests and responses; TORs for technical assistance prepared where requests are accepted.				
1.3.1 Implement SPC climate change communications	Climate change communications plan implemented.				
1.3.2 Develop and implement a climate change communication plan for GCCA: PSIS	Climate change communications plan prepared and implemented				
1.3.3 Recruit a Climate Change Communications Officer to implement the plan	Climate Change Communications Officer recruited; progress reports relating to implementation of the plan				
1.3.4 Prepare and distribute four national and one regional climate change adaptation videos	5 videos completed and distributed and available through the website.				
1.3.5 Share activities among countries and distribute visibility products	Regular country updates; updated website; visibility products distributed				
1.4 Assess country needs for national climate change communication plans	Responses obtained from at least 5 countries on climate change communication needs; TORs prepared where appropriate.				

Activity	Indicator	Q1, 01-03 2013	Q2 04-06 2013	Q3 07-09 2013	Q4 10-12 2013
KRA 2 Well articulated sectoral adaptation strategies that address budget support criteria in place					
2.1.1 Establish agreements with 9 countries for project implementation	Letters of Agreement signed for 9 countries				
2.1.2. Recruit National Climate Change Coordinators in a minimum of 7 countries	Regular progress reports from National Coordinators				
2.2.1 Review budget support readiness in a minimum of 7 countries (especially criterion 1)	TOR, report on the result of the review.				
2.2.2 Hold a regional workshop to discuss budget support and prepare plan of action for national follow-up	Workshop report and plan of action prepared.				
2.4 Conduct national training in project proposal preparation using the logical framework approach in 5 countries	Minimum 50 persons capable of using logical framework approach in project planning				
KRA 3 National climate change adaptation projects implemented.					
3.1 Select a specific sector for an adaptation project and prepare a concept in 9 countries.	Concept notes prepared for projects in consultation with 9 countries.				
3.2 Prepare detailed project designs in a participatory manner in at least 7 countries	Design documents including scheduling and budgets for 7 countries				
3.3. Start project implementation in at least 5 countries	Progress reports				
3.4 Compile lessons learnt from adaptation projects	Initial compilation of lessons learnt shared with countries				
KRA 4 Streamlined technical assistance that supports national adaptation responses delivered by regional organizations in a collaborative manner					
4.1.1 Revise matrix of climate change activities by sector for the Pacific region	Matrix updated and on website				

Activity	Indicator	Q1, 01-03 2013	Q2 04-06 2013	Q3 07-09 2013	Q4 10-12 2013
4.1.2 Populate the Climate Change Portal with GCCA PSIS and other information	GCCA: PSIS project activities up to date on the Portal				
4.1.3 Provide national training in updating the Climate Change Portal through 1 sub-regional training workshops	Reports on two sub-regional training workshops				
.2.1 Assist with preparations for the PCCR (July 2013)	Planning Meeting Minutes, Preparatory information uploaded on the Climate Change Portal, minutes GCCA team meetings				
4.2.1 Participate in PCCR and contribute to follow-up in project countries	Report on meeting; implementation of action items				
4.2.2 CROP CEO's Climate Change Sub-Committee - Working Arm on Climate Change (WACC) and Development Partners for Climate Change (DPCC) informed about GCCA: PSIS activities	Minutes from meetings of WACC and DPCC				
4.2.3 Coordinate all donor funded climate change activities implemented through SPC	Minutes of SPC Climate Change Managers group				
4.2.4 Project activities to support the Joint National Adaptations Plans (JNAP) for CCA and DRM conducted in at least six countries	Documentation of activities directly supporting JNAP				
4.3 1 At least 5 joint SPC/SPREP activities conducted.	Documentation relating to joint activities				

4.2 Projected Budget 1 January -31 December 213

The projected budget for 1 January – 31 December 2013 is for €2,437,342 and is shown on the following page. It has been based on the work plan shown in 4.1.

This is a significant increase over the first payment. Key budget lines where additional funding will be required are:

- Budget line 1: Three new project staff - two Climate Change Advisors (to be recruited in Q1) and one Project Liaison Officer (already on the job).
- Budget line 3: There will be significant increases in TAs for mainstreaming (three requests for Expressions of Interest for specific TAs have already been posted on the SPC website in January 2013 and two more are scheduled for February 2013).
- Budget line 4: Increases in training workshops and attachments: One major regional training activity relating to budget support is planned for September 2013; national training in proposal preparation using the logical framework approach in five countries has been scheduled; and further training requests relating to the JNAP process are anticipated together with other individual country requests.
- Budget line 5: Recruitment of a minimum of four national coordinators and transfer of funds to cover salaries and related office costs for the first year. (The first tranche is €27,000 per country).
- Budget line 6: Implementation of climate change adaptation projects is projected to commence in five countries following completion of design documents which will also detail payment tranches. (It is anticipated that initial payment tranches will be €150,000 – 200,000 per country to cover initial equipment, infrastructure, personnel and other costs).
- Budget line 7: Travel will also increase as a result of officers spending increased time in country and the recruitment of new officers.

Global Climate Change Alliance: Pacific Small Island States

Projected Budget for 2013

Activity	T-6 Codes		2013
Project TA SPC & SPREP			
Project Manager/ Team Leader SPC	GCCA 1.0		69,129
Climate Change Advisor-Suva SPC	GCCA 1.0		65,024
Climate Change Advisor-Pohnpei SPC	GCCA 1.0		68,293
Climate Change Advisor SPC	GCCA 1.0		57,661
Climate Change Advisor SPC	GCCA 1.0		57,661
Finance Officer SPC	GCCA 1.0		51,026
Project Liaison Assistant SPC	GCCA 1.0		13,628
CC Communications Officer SPC	GCCA 1.0		29,739
Long Tem Project TA SPREP	GCCA 1.1		50,108
Support for SPREP participation in-country	GCCA 2.0		20,878
Short & medium term TA in beneficiary countries	GCCA 3.0		308,997
Training			
Training workshops & national consultation	GCCA 4.0		73,074
Training Attachments in-country and regional	GCCA 4.1		68,898
Direct financial assistance to national counterparts to support engagement cost	GCCA 5.0		108,566
Country adaptation projects	GCCA 6.0		968,747
Travel and in-country cost	GCCA 7.0		125,269
Communication office equipments and consumables	GCCA 8.0		39,669
EU Visibility	GCCA 9.0		24,010
PM Fees	GCCA 10.0		150,020
Evaluations			41,756
Contingencies			45,191
			2,437,342